

PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

Safety and Enforcement Division
Rail Transit Safety Branch

Resolution ST-168
December 1, 2016

P U B L I C R E D A C T E D

R E S O L U T I O N

RESOLUTION ST-168 GRANTING APPROVAL OF FINAL
REPORT ON THE 2014 TRIENNIAL SECURITY REVIEW OF
SACRAMENTO REGIONAL TRANSIT DISTRICT.

SUMMARY

This resolution approves the California Public Utilities Commission Safety and Enforcement Division final report titled, "2014 Triennial Security Review Of Sacramento Regional Transit District," dated August 31, 2015. The report compiles the results of Commission Staff review of the Sacramento Regional Transit District security program. Background information and review procedures only are included in the redacted report.

BACKGROUND

Commission General Order No. 164-D, "Rules and Regulations Governing State Safety Oversight of Rail Fixed Guideway Systems" requires Commission Staff (Staff) to conduct on-site security review of the transit agencies operating rail fixed guideway systems triennially.

From 1996 to 2008, the Commission's Rail Transit Safety Branch (RTSB) partnered with the Transportation Security Administration (TSA) in performing rail transit security reviews. However, in the later half of 2008, RTSB took over the responsibility of security reviews from the TSA. Staff conducted an on-site security review of the Sacramento Regional Transit District (SRTD) security program on June 2, 2014.

A full description of the security review, including the scope, results, and recommendations, is contained in the final security review report identified in this resolution as Attachment A.

The security review results show that the SRTD is in compliance with its System Security Plan. Staff did not find any areas of non-compliance during the review. Activities of each checklist can be found in Section D of the security report.

Staff sent SRTD Security Department (Protective Services) a copy of the draft security review report for a 30-day comment period on September 4, 2015. SRTD did not have any comments to the RTSB report draft.

DISCUSSION

The final report, "2014 Triennial Security Review Of Sacramento Regional Transit District," dated August 31, 2015, included as Attachment A, identifies Staff's activities for each of the five Federal Transit Administration security elements evaluated during the security review. Staff recommends the Commission approve the final security review report titled, "2014 Triennial Security Review Of Sacramento Regional Transit District," dated August 31, 2015.

Confidential Information

Public Utilities Code Section 583 states: "No information furnished to the commission by a public utility, or any business which is a subsidiary or affiliate of a public utility, or corporation which holds a controlling interest in a public utility, except those matters specifically required to be open to public inspection by this part, shall be open to public inspection or made public except on order of the commission, or by the commission or a commissioner in the course of a hearing or proceeding. Any present or former officer or employee of the commission who divulges any such information is guilty of a misdemeanor."

The confidential appendices, marked "[CONFIDENTIAL]" in the public copy of this resolution should remain confidential at this time.

NOTICE

On November 1, 2016, staff's request for approval of the final safety review report titled, "2014 Triennial Security Review of Sacramento Regional Transit

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District," dated August 31, 2015, was published on the Commission's Daily Calendar.

COMMENTS

The draft resolution of the SED in this matter was mailed in accordance with Section 311 of the Public Utilities Code and Rule 14.2(c) of the Commission's Rules of Practice and Procedure. _____ comments were received.

FINDINGS

1. The RTSB staff conducted an on-site security review of SRTD on June 2, 2014, and concluding that same day. Staff conducted a post-review exit conference with SRTD management on June 2, 2014.
2. The safety review results show SRTD is generally in compliance with its System Safety Program Plan; no areas of non-compliance were identified during the review.

THEREFORE, IT IS ORDERED THAT:

1. The Safety and Enforcement Division's request for approval of the final safety review report titled, "2014 Triennial Security Review of Sacramento Regional Transit District," dated August 31, 2015, is granted.
2. This Resolution is effective today.

I certify that the foregoing resolution was duly introduced, passed and adopted at a conference of the Public Utilities Commission of the State of California held on December 1, 2016; the following Commissioners voting favorably thereon:

TIMOTHY J. SULLIVAN
Executive Director

ATTACHMENT A